		FORM PTO-1449			481	Y. DOCKET NO. .0100006		ICATION NO. 5,758	Page 1 of 17		
			4515	- I.		NTORS n <i>et al.</i>					
INFO	DRMATIO	N DISCLOSURE STATEM	MENI	, ,		NG DATE ember 12, 2003	ART 3692				
			U	J.S. PATE	NT	DOCUMENTS					
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DA	TE	NA	ME	CLASS	SUB-CLASS	FILING DATE		
G.V./	US1	2002/0026284 A1	02/	/2002	Br	own					
/G.V./	US2	2002/0032644 A1	03/	/2002	Co	orby et al.					
G.V./	US3	2002/0038217 A1	03/	/2002	Y	oung					
G.V./	US4	2002/0084900 A1	07/	/2002	Pe	terson et al.					
'G.V./	US5	2002/0091692 A1	07/	/2002	Y	oshida <i>et al</i> .		•			
G.V./	US6	2002/0107638 A1	08/	/2002	In	triligator et al.					
'G.V./	US7	2002/0130899 A1	09/	/2002	Ry	an et al.					
G.V./	US8	2002/0133385 A1 09/2002		/2002	Fo	ox et al.					
			FOR	REIGN PA	TE	NT DOCUMENTS					
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DOCUMENT NUMBER DATE			COUNTRY	CLASS	SUB-CLASS	TRANSLATION		
G.V./	FP1	2 153976 C	07/1994			CA			N/A		
/G.V./	FP2	41 14 584	11/1991			DE ·			No		
=	1000	No	N PA	TENT LIT	ER	ATURE DOCUMENTS			·		
EXAMINER INITIAL	DOC. REF.					CITATION					
/G.V./	NPL1	http://www.irrigation.co	mas	Archived	on F	eb. 19, 1999A trade v	web site on go	f, agriculture a	and landscape		
/G.V./	NPL2	Helfrich, Greg; Haas, G Engineering & Manage			/, " S	oftware developed for d	lisinfection rule	compliance",	Water		
/G.V./	NPL3	Erickson, Paul, "Deterr Constraints", 1966.	minatio	on of Minin	num	Pool Level for Quabbin	Reservoir on	the Basis of W	ater Quality		
/G.V./	NPL4	Price et al. "Adapting a Climate Variability." Jo	Patch umal c	n Model to of Biogeog	Sim	ulate the Sensitivity of C ny, vol. 26, No. 5, pp. 11	Central-Canad 01-1113, Sep	an Boreal Ecc 1999.	systems to		
/G.V./	NPL5	Reynolds, C.S. "The Eccesystem Stress and				n to Water Management o. 1, Mar. 1993.	" (abstract only	/). Journal of /	Aquatic		
/G:V./	NPL6	Risser, Paul G. "The S 1995.	Risser, Paul G. "The Status of the Science Examining Ecotones." BioScience, vol. 45, No. 5, p. 318(8), May 1995.								
EXAMINER	IGe	erald Vizvary/					DATE CO	NSIDERED	01/02/2008		

EXAMINER: Initial if reference considered, whether or not citation is in conformance and not considered. Include copy of this form with next communication to Applicant. 614759_1.DOC

EXAMINER DO INITIAL RI	OC. PEF. IS9	DISCLOSURE STATEM DOCUMENT NUMBER 2002/0099640 A1		FILII		ART	INUT				
/G.V./ U:	SEF. IS9 IS10		1	Nov	NODAIL	Willen et al. FILING DATE ART UNIT					
/G.V./ U:	SEF. IS9 IS10		1		November 12, 2003 3692						
/G.V./ US	IS9 IS10		DATE		DOCUMENTS AME	CLASS	SUB-CLASS	FILING DATE			
/G.V./ US	IS10	2002/0099640 A1	07/2002	-		CEASS	30B-CLA33	FILING DATE			
/G.V./ U:			07/2002	 	ange						
10.11		2002/0178077 A1	11/2002	K	atz et al.						
/G.V./ u:	IS11	2002/0194113 A1	12/2002	L	of <i>et al</i> .						
	IS12	2002/0194148 A1	12/2002	В	illet <i>et al</i> .						
/G.V./ U:	IS13	2003/0004780 A1	01/2003	Sı	mith et al.						
/G.V./ U:	IS14	2003/0107490 A1	06/2003		znaider et al.						
/G.V./ U	IS15	2003/0126155 A1	07/2003		arker et al.	-					
/G.V./ U	IS16	2003/0200027 A1	10/2003	R	oot et al.						
			FOREIGN P	ATE	NT DOCUMENTS						
	OC. PEF.	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB-CLASS	TRANSLATIO			
G.V./ FF	P3	2 732 477	10/1996		FR			N			
/G.V./ · FF	P4	2 751 774	07/1996		FR			N			
		Non	PATENT L	ITER	ATURE DOCUMENTS						
	OC. EF.				CITATION						
/G.V./	IPL7	Kickert et al. "Predictive 87-132, 1999.	Modeling of E	Effect	s Under Global Change." I	Environment	al Pollution 10	00 (103), pp.			
G.V./	IPL8	International Search Re	port issued Ja	an. 8,	2001 for PCT/US01/1191	5, 4 pages.					
/G.V./ NI	IPL9	Banham, R., "Reinsuren XP002082269, p. 14, co			omputer Predictions", Augu ine 29.	ust 1993, pp.	14-16, 18-19				
G.V./	IPL10	Gagne, James, `Fair-we	eather trends'	, May	, 1997, American Demogra	phics, pp. 1	-5.				
/G.V./ NI	IPL11	Gotschall, Mary G., "Bul (September/October 199		er," <i>E</i>	Electric Perspectives, Wasl	nington, Vol.	23, No. 5, p. 3	30, 8 pgs			
G.V./	IPL12	http://www.bysb.com/sp	onsors/weath	r.htm							
EXAMINER		erald Vizvary/			formance with MPEP 609. Dr	•	NSIDERED	01/02/2008			

INFO		FORM PTO-1449 I DISCLOSURE STATEN	<u>IENT</u>	Wille	INVENTORS Willen et al. FILING DATE ART UNIT					
,			71 C T	Nove	ember 12, 2003	3692				
EXAMINER	DOC.	DOCUMENT NUMBER	DATE		DOCUMENTS AME	CLASS	SUB-CLASS	FILING DATE		
INITIAL G.V./	REF.	2004/0215394 A1	10/2004		Carpenter et al.		000 00.00			
G.V./ /G.V./	US18	2004/0230519 A1	11/2004		arker					
G.V./	US19	2004/0230319 A1 2005/0096947 A1	05/2005		ox et al.					
G.V./ 	US20	2005/0108150 A1	05/2005		ethick et al.					
	+		06/2005		owen et al.	-				
/G.V./	US21	2005/0119962 A1								
/G.V./	US22	2005/0154531 A1	07/2005		elly et al.					
/G.V./	US23	2005/0177411 A1	08/2005		chuhn .					
/G.V./	US24	2006/0293980 A1	12/2006		orby et al.			<u> </u>		
EXAMINER	T DOC.			PATE	NT DOCUMENTS	01.455	SUB-CLASS	TRANSLATIO		
INITIAL	REF.	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB-CLASS	TRANSLATION N		
/G.V./	FP5	4-135271	05/1992		ЛР		<u> </u>			
/G.V./	FP6	9-128411	05/199 N PATENT		ATURE DOCUMENTS		<u></u>	N		
EXAMINER INITIAL	DOC. REF.	110	NIAILINI	DITER	CITATION		3			
/G.V./	NPL13	Hunter, R., "Forecast for as printed from http://do	or Weather I erivatives.co	Derivativ m/mag	ves: Hot Derivatives Strateg azine/arrive/1998/0598feal.a	y," May 199 18p> p. 1, li	99, pp. 1-6, XI ne 1p. 6, lin	P002133864, e 9.		
(0.) (NPL14	"Japan-US Business R no. 335, August 31, 19		ican Co	mpanies in Japan," <i>Softwar</i>	e and Infor	mation Servic	es, vol. 1997,		
/G.V./					 					
	NPL15	Karmin, Monroe W., "Ir 108, no. 19., p. 50, Ma	ifiation, Jobs y 14, 1990.	s and In	terest Rates: Dangerous Te	erritory," <i>U</i> .	S. News & W	orld Report, vo		
/G.V./ /G.V./	NPL15	108, no. 19., p. 50, Ma	/ 14, 1990.		terest Rates: Dangerous Te					
/G.V./		Lucchetti, A., "Cold Will Malliaris, M., "Beating the Conference on Artic	ter On the he Best: A lifeial Intellige	Way? S		Journal, No	ovember 6, 19 Formula," <i>Pr</i> Comp. Soc. F	97.		
/G.V./	NPL16	Lucchetti, A., "Cold Win Malliaris, M., "Beating the Conference on Arti 445-449, XP00037963	nter On the he Best: A lificial Intellig	Way? S Neutral ence for 186-38	Some bet on it," <i>Wall Street</i> . Network Challenges the Blar <i>Applications</i> , US, Los Alam	Journal, No ck-Scholes itos, IEEE p. 446, co	Formula," <i>Pr</i> Comp. Soc. F I, line 17.	97.		

		FORM PTO-1449		148	Y. DOCKET NO. 1.0100006 ENTORS		ICATION NO 5,758	Page 4 of 17			
INFO	ORMATION	N DISCLOSURE STATEM	MENT		en <i>et al.</i>	, LADT	AUT	•			
					FILING DATE ART UNIT November 12, 2003 3692						
	1500		U.S. PA	TENT	DOCUMENTS		T				
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	^	IAME	CLASS	SUB-CLASS	FILING DATE			
/G.V./	US25	3,808,410	. 04/1974	s	chlesinger						
/G.V./	US26	4,015,366	04/1977	H	Iall, III						
/G.V./	US27	4,040,629	08/1977	K	Celly						
'G.V./	US28	4,218,755	08/1980	R	Loot						
/G.V./	US29	4,342,125	08/1982	H	lodge						
/G.V./	US30	4,580,814	04/1986	E	Berler .			•			
/G.V <i>.</i> /	US31	4,626,992	12/1986	C	Greaves et al.						
/G.V./	US32	4,642,775	02/1987	C	Cline et al.						
			FOREIGN	PATE	NT DOCUMENTS						
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB-CLASS	TRANSLATION			
/G.V./	FP7	10-96790	04/199	8	JP			No			
/G.V./	FP8	10-332840	12/199	8	JР			No			
		No	n Patent	Liter	RATURE DOCUMENTS	3					
EXAMINER INITIAL	DOC. REF.				CITATION						
/G.V./	NPL19	Ojala, Marydee, "The c February/March 1995.	lollar sign: V	Veathe	r databases online, " Da	itabase, vol. 18	no. 1, pp. 72	76,			
/G.V./	NPL20	"Origins of Option Prici "Graphs of the Black S (8 pages).	ng Techniqu choles Mode	es", "T el", as p	he Black and Scholes Morinted from http://bradle	dodel", "The Bla ey.bradley.edu/.	ck and Schole about.arr/bsm	es Model" and , Apr. 9, 1997,			
/G.V./	NPL21	Proceedings of the 199	93 Internation	nal Cor	f Graphical User Interfact ofference on Information ovember 1993), XP0090	and Knowledge	ve Database A Management	applicaitons," , Association			
/G.V./	NPL22				ajshekhar G., `Activity Pı Vinter, 1992, Journal of			Behavior of the			
/G.V./	NPL23	Schwartz, S., "Modelin 20-21 (April 1996).	g tools aid in	financ	ial risk management," <i>İr</i>	nsurance & Tec	hnology, Vol.	21, No. 4, pp.			
/G.V./	NPL24		Skyline Multimedia Entertainment, Inc. Announces Co-Marketing Pact With Internet's InfoSpace.com; Deal larks Theme Entertainment Company's Expansion Into Tour Industry Services," Business Wire, September, 1998.								
EXAMINER	/G	erald Vizvary/				DATE CO	NSIDERED ()1	/02/2008			

and not considered. Include copy of this form with next communication to Applicant. 614759_1.DOC

		FORM PTO-1449		1	481 NVE	7. DOCKET NO. .0100006 NTORS		ICATION NO. 5,758			
INFO	RMATION	I DISCLOSURE STATEM	MEN.	<u> </u>	ILIN	n <i>et al.</i> IG DATE	ART				
•						mber 12, 2003 DOCUMENTS	3692	 			
EXAMINER	DOC.	DOCUMENT NUMBER		ATE		ME	CLASS	SUB-CLASS	FILING DATE		
INITIAL G.V./	US33	4,766,539	0	8/1988	Fox						
G.V./	US34	4,784,150	+	1/1988	Voorhies et al.						
G.V./	US35	5,063,506	1	11/1991		Brockwell et al.					
'G.V./	US36	5,128,861	0.	07/1992		Kagami et al.					
/G.V./	US37	5,128,862	0	7/1992	M	ueller et al.					
/G.V./	US38	5,130,925	0	07/1992		Janes et al.					
/G.V./	US39	5,140,523	0	08/1992		ankel <i>et al</i> .		· · · · ·			
/G.V./	US40	5,168,445	1:	12/1992		Kawashima et al.					
			Fo	REIGN PA	TEN	T DOCUMENTS		·	1		
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER		DATE		COUNTRY		SUB-CLASS	TRANSLATIO		
'G.V./	FP9	11-258359		09/1999		JP			N		
'G.V./	FP10	WO 94/16394		07/1994		РСТ		<u> </u>	N//		
EXAMINER	DOC.	No	N P.	ATENT LIT	ER	ATURE DOCUMENTS		•			
INITIAL	REF.					CITATION					
/G.V./	NPL25	Stix, G., "A Calculus of	Risk	k," Scientific	Am	erican, pp. 92-97 (May 1	998).				
/G.V./	NPL26	Studwell, A., "Weather XP00089822, p. 36, co	Deri I. 1,	vatives," <i>11</i> line 1-p. 40,	th Col.	onference on Applied Cli . 1, line 33.	matology, Jar	uary 10-15, 1	999, pp. 36 - 40		
/G.V./	NPL27	Turvey, Calum G., "We Agricultural Economics 1999).	athe Ass	or Derivative sociation Ani	s an nual	nd Specific Event Risk," F Meeting, American Agric	Proceedings o	of the 1999 Am omics Associa	nerican tion (August		
'G.V./	NPL28					pecific Event Risks in Agics Association, Vol. 23,					
/G.V./	NPL29	Upbin, B., "Betting aga	obin, B., "Betting against God," <i>Forbes</i> , Vol. 162, No. 1, p. 108(1) (July 6, 1998).								
/G.V./	NPL30		Urilicorp's Aquila energy to Greatly Expand it's Weather Hedging Products`, Nov. 20, 1997, McGraw-Hill Publications, vol. 17, No. 1, p. 4.								
EXAMINER		/Gerald Vizvary/					DATE CO	NSIDERED	01/02/2008		
		rence considered, whether o									

					Y. DOCKET NO. 1.0100006		ICATION NO 5,758	Page 6 of 17
		FORM PTO-1449		INV	ENTORS	1,0770	0,100	
INFO	RMATION	N DISCLOSURE STATEM	ENT		en <i>et al.</i> NG DATE	ART	UNIT	
			IIS DA		ember 12, 2003 DOCUMENTS	3692		
EXAMINER INITIAL	DOC.	DOCUMENT NUMBER	DATE		AME	CLASS	SUB-CLASS	FILING DATE
/G.V./	US41	5,189,606	02/1993	В	urns et al.			
/G.V./	US42	5,208,665	05/1993	M	IcCalley et al.			
'G.V./	US43	5,237,496	08/1993	K	agami <i>et al</i> .			
/G.V./	US44	5,250,941	10/1993	M	IcGregor et al.			
/G.V./	US45	5,253,165	10/1993	L	eiseca <i>et al</i> .			
/G.V./	US46	5,253,181	10/1993	М	larui <i>et al</i> .			
/G.V./	US47	5,263,167	11/1993	С	onner, Jr. et al.			
/G.V./	US48	5,283,865	02/1994	Jo	ohnson .			
EXAMINER	1000			PATE	NT DOCUMENTS	·	γ	1
INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY .	CLASS	SUB-CLASS	TRANSLATIO
/G.V./	FP11	WO 98/22899	05/1998	3	PCT			N/
/G.V./ 	FP12	WO 07/002454	01/2007		PCT			Ye N
EXAMINER	DOC.	Nor	N PATENT I	LITER	ATURE DOCUMENTS CITATION			
INITIAL	REF.		·	 	CHAHON		 ~	
/G.V./	NPL31	"WeatherPlanner Introd Newswire, November 1		er Fore	casting Service for Cons	sumers and Sn	nall Businesse	es," PR
/G.V./	NPL32				ecasting Service for Skie R Newswire, December		nry Planning T	ool Provides
/G.V./	NPL33	"WeatherPlanner Introd Provides Forecasts up t	uces Weathe o 12 Months	er Fore	casting Service for Vacavance," PR Newswire, M	ationers; Revol larch 19, 1998.	utionary Plani	ning Tool
/G.V./	NPL34	"WeatherPlanner Introd Honeymoons," PR New			ecasting Service to Aid B	rides in Planni	ng Weddings	and
G.V./	NPL35	"WeatherPlanner Offers Available up to 12 Mont	Weather Fo	recast ce," PR	ing Service for Outdoor Newswire, March 6, 19	Enthusiasts; W 98.	eather Forec	asts Now
/G.V./	NPL36	"WeatherPlanner Tees 12 Months in Advance,"			asting Service for Golfers y 5, 1998.	s; Weather For	ecasts Now A	vailable up to
EXAMINER	/Ger	ald Vizvary/		•		DATE CO	NSIDERED (01/02/2008

·								Page 7 of 17
					Y. DOCKET NO. 1.0100006		ICATION NO 05,758	
		FORM PTO-1449		INV	ENTORS			
INFO	RMATIO	N DISCLOSURE STATEM	MENT	FILI	en <i>et al.</i> NG DATE	ART	UNIT	
			TIC DA		ember 12, 2003 DOCUMENTS	3692		· · · · · · · · · · · · · · · · · · ·
EXAMINER	DOC.	DOCUMENT NUMBER	DATE		AME	CLASS	SUB-CLASS	FILING DATE
INITIAL /G.V./	REF.	5,295,064	03/1994		falec et al.			
/G.V./	US50	5,295,069	03/1994		ersey et al.			
/G.V./	US51	5,309,355	05/1994		ockwood			
/G.V./	US52	5,342,144	08/1994	M	IcCarthy			,
/G.V./	US53	5,377,095	12/1994	M	laeda <i>et al</i> .			
/G.V./	US54	5,444,820	08/1995	Т	zes et al.	·		
/G.V./	US55	5,491,629	02/1996	F	ox et al.			
/G.V./	US56	5,504,675	04/1996	C	ragun <i>et al</i> .			
			FOREIGN I	PATE	NT DOCUMENTS			
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	FP					·		Yes No
	FP					,	,	Yes No
EXAMINER	DOC.	No	N PATENT L	ITER	ATURE DOCUMENTS			
INITIAL	REF.	·			CITATION			
/G.V./	NPL37	"Web WeatherPlanner	Site for Weath	ner Pr	edictions," Newsbytes Ne	ws Network,	December 12	1997.
/G.V./	NPL38	Williams, Michael et al., Regulatory Analysis & F	, "Natural Gas Policy Section	Tren , July	ds," Railroad Commission 28, 2003.	n of Texas Ga	ıs Services Di	vision
/G.V./	NPL39	www.intellicast.com, Sc	reen Print, Ju	ıly 4, ¹	1998.			
/G.V./	NPL40	wysiwyg://171/http://ww	w.aquilaener	gy.cor	n/northamerica/about/.			
/G.V./	NPL41	English Abstract of Fred database.	nch Patent Pu	blicat	ion No. 2 751 774, 1 page	e, data supplic	ed from the es	p@cenet
/ <u>G</u> .V./	NPL42	English Abstract of Japa database.	anese Patent	Public	cation No. 9-128411, 1 pa	age, data supp	olied from the	esp@cenet
EXAMINER	<u> </u>	/Gerald Vizvary/			· · · · · · · · · · · · · · · · · · ·	DATE CO	NSIDERED	01/02/2008
EXAMINER: In	ered. Inclu	/Gerald Vizvary/ rence considered, whether or de copy of this form with nex	not citation is it communication	n conf on to A	ormance with MPEP 609. D			

								Page 8 of 17
				148	Y. DOCKET NO 1.0100006		ICATION NO 15,758	•
		FORM PTO-1449			ENTORS en et al.			
INFO	DRMATIO	N DISCLOSURE STATEM	MENT	FILI	NG DATE	ART	UNIT	
1			U.S. PA		ember 12, 2003 DOCUMENTS	3692		
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		AME	CLASS	SUB-CLASS	FILING DATE
/G.V./	US57	5,521,813	05/1996	F	ox et al.			
/G.V./	US58	5,654,886	08/1997	z	ereski, Jr. et al.			
/G.V./	US59	5,673,366	09/1997	M	laynard <i>et al</i> .			
/G.V./	US60	5,692,233	11/1997	G	arman			
/G.V./	US61	5,712,985	01/1998	L	ee et al.			
/G.V./	US62	5,740,549	04/1998	R	eilly <i>et al</i> .			
/G.V./	US63	5,753,784	05/1998	F	ischer et al.			
/G.V./	US64	5,768,586	06/1998	z	weben et al.			
EVALUATED	1000		FOREIGN	PATE	NT DOCUMENTS			
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	FP					_		Yes No
	FP					<u> </u>		Yes No
EXAMINER	DOC.	NO.	N PATENT I	LITER	CITATION			
INITIAL	REF.				0/////0/			
/G.V./	NPL43	English Abstract Page tesp@cenet database.	or Japanese	Paten	t Publication No. 10332840,	, 1 page, da	ita supplied fr	om the
/G.V./	NPL44	International Search Re	eport from PC	Т Арр	ol. No. PCT/US93/11005, 6 p	oages, mail	ed Jun. 27, 19	994.
/G.V./	NPL45	International Search Re	port from PC	Т Арр	ol. No. PCT/US95/00618, 5 p	pages, mail	ed May 3, 199	95.
/G.V./	NPL46	International Search Re	port from PC	T App	ol. No. PCT/US95/02557, 4 p	pages, mail	ed Jun. 8, 199	95.
/G.V./	NPL47	International Search Re	port from PC	Т Арр	ol. No. PCT/US97/01075, 5 p	pages, mail	ed May 14 19	97.
/G.V./	NPL48	International Search Re	port from PC	Т Арр	ol. No. PCT/US99/23452, 5 p		·	
EXAMINER		/Gerald Vizvary/				DATE CO	NSIDERED (1/02/2008
		rence considered, whether or de copy of this form with nex			formance with MPEP 609. Drav	v line through	n citation if not	n conformance
614759_1.DC							.	

									Page 9 of 17
		500M DT0 4440		148	Y. DOCKET NO. 1.0100006			ICATION NO 5,758	
		FORM PTO-1449			NTORS en <i>et al</i> .				
INFO	RMATION	N DISCLOSURE STATEM	IENT	FILI	NG DATE ember 12, 2003		ART (
			U.S. PA		DOCUMENTS				
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	N.	AME		CLASS	SUB-CLASS	FILING DATE
/G.V./	US65	5,787,283	07/1998	С	Chin et al.				
/G.V./	US66	5,796,611	08/1998	0	chiai et al.				
/G.V./	US67	5,796,932	08/1998	F	ox et al.				
/G.V./	US68	5,832,456	11/1998	F	Fox et al.				
/G.V./	US69	5,848,378	12/1998	S	nelton <i>et al</i> .				
/G.V./	US70	5,855,006	12/1998	Н	uemoeller <i>et al</i> .				
/G.V./	US71	5,870,302	02/1999	0	liver			• "	
/G.V./	US72	5,884,286	03/1999	D	Daughtery, III				
			FOREIGN	PATE	NT DOCUMENTS		,	,	
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY		CLASS	SUB-CLASS	TRANSLATION
	FP			•		· .			Yes No
	FP						<u> </u>		Yes No
EXAMINER	TDOC.	Noi	N PATENT I	LITER	ATURE DOCUMEN	NTS		, x	
INITIAL	REF.				CITATION	 			· · · · · · · · · · · · · · · · · · ·
/G.V./	NPL49	International Search Re	port from PC	СТ Арр	I. No. PCT/US04/32	440, 3 pag	ges, mail	ed May 27, 20	005.
/G.V./	NPL50	Best, D.L. and Pryor, S Air Force, Entire Report	.P., <i>Air Weat</i> t submitted (0	her Se Oct. 19	rvice Model Output (183).	Statistics	System F	Project Report	, United States
/G.V./	NPL51	Demand Modeling & Fo (Apr. 1989Product Re		rstem l	Product Description,	Printed fr	om DIAL	OG File No. 2	56, 1 page
/G.V./	NPL52	Down to Earth Sales Ar Product Release Date).		roduct	Description, Printed	from DIAI	LOG File	No. 256, 1 pa	nge (Apr. 1989
/G.V./	NPL53	Hurrell, M., "The Weath	er Business,	" Inter	city, pp. 29, 31 and 3	32 (Feb. 1	991).		
/G.V./	NPL54	IMREX Demand Foreca Product Release Date).		n Prod	uct Description, Prin	ited from I	DIALOG	File No. 256,	1 page (1984
EXAMINER	1	/Gerald Vizvary	1			[DATE CO	NSIDERED	01/02/2008
EXAMINER:	Initial if refe	rence considered, whether or	not citation is	in con	omance with MPEP 6	09. Draw I	ine throug	h citation if not	in conformance
614759_1.DC		See Supy of this form that Hox		J., W F	ррисани.		<u> </u>		

						·		Page 10 of 17				
				148	Y. DOCKET NO. 1.0100006		ICATION NO 5,758					
		FORM PTO-1449			ENTORS en et al.							
INFO	RMATIO	N DISCLOSURE STATEM	<u>IENT</u>	FILI	NG DATE ember 12, 2003	ART 3692						
			U.S. PA	TENT	DOCUMENTS							
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	٨	AME	CLASS	SUB-CLASS	FILING DATE				
/G.V./	US73	5,909,671	06/1999	В	yford et al.							
/G.V./	US74	5,946,662	08/1999	E	ttl <i>et al</i> .		,					
/G.V./	US75	5,974,395	10/1999	В	ellini <i>et al</i> .							
/G.V./	US76	5,978,738	11/1999	В	rown							
/G.V./	US77	5,979,363	11/1999	s	haar							
/G.V./	US78	5,983,200	11/1999	y S	lotznick							
/G.V./	US79	5,999,882	12/1999	S	impson et al.							
/G.V./	US80	6,002,863	12/1999	S	heer et al.							
			FOREIGN]	PATE	NT DOCUMENTS							
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB-CLASS	TRANSLATION				
	FP							Yes No				
	FP				7			, Yes No				
EXAMINER	DOC.	Non	PATENT I	ITER	ATURE DOCUMENTS			***				
INITIAL	REF.				CITATION							
/G.V./	NPL55	The Weather Initiative, (Brochure), T	he Me	et Office, 23 pages (1990).							
/G.V./	NPL56	Microsoft Excel User's C	Guide, Micros	oft Co	prporation, pp. 280-281, 596	-601 and 7	06-709 (1993)					
/G.V./	NPL57	Brennan Peter J., "Portf Review, Dealers Digest	olio Manager Inc., vol. 7, N	rs Wea	ather Global Risk Managemopp. 20-22, 24, 54, 56, Oct. 1	ent Challen 989.	ge," Wall Stre	et Computer				
/G.V./	NPL58	Jensen, Cary and Ander 16, 17, 126-129, 737-74	rson, Loy, <i>Ha</i> 7, 1990.	arvard	Graphics: The Complete Re	eference, O	sborne McGra	aw-Hill, pp. 5,				
/G.V./	NPL59	Cave, Tom, "Weather Spp. 165, 166, 168-169, A		oon To	System Dispatchers," Tran	nsmission &	Distribution,	vol. 43, No. 8,				
/G.V./	NPL60	Mitchell et al., "Where N Performance," McGraw-	Mitchell et al., "Where No Computer Has Gone Before: Massively Parallel Processing Promises Unparalleled Performance," McGraw-Hill Inc., Business Week, pp. 80-84, 88, Nov. 25, 1991.									
EXAMINER	<u> </u>	/Gerald Vizvary/	•			DATE CO	NSIDERED	01/02/2008				
EXAMINER: In	itial if refer	rence considered, whether or de copy of this form with next	not citation is	in conf	ormance with MPEP 609. Draw	v line through	n citation if not i	n conformance				
614759_1.DO		ac sopy or and form with next	المالمالين المستحد	W A	opinodity.							

								Page 11 of 17
					Y. DOCKET NO. 1.0100006		PLICATION NO 705,758	
		FORM PTO-1449		INV	ENTORS en et al.	•		
INFC	RMATIO	N DISCLOSURE STATEM	<u>IENT</u>	FILI	NG DATE ember 12, 2003	AR 369	T UNIT 2	•
			U.S. PA	TENT	DOCUMENTS			
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	Ν.	AME	CLAS	S SUB-CLASS	FILING DATE
/G.V./	US81	6,009,407	12/1999	G	arg			
/G.V./	US82	6,012,834	01/2000	D	ueck et al.			
/G.V./	US83	6,014,606	01/2000	Т	u ·			
/G.V./	US84	6,018,640	01/2000	В	lackman <i>et al</i> .			
/G.V./	US85	6,021,402	02/2000	Т	akriti			
/G.V./	US86	6,035,285	03/2000	S	chlect et al.			
/G.V./	US87	6,061,662	05/2000	M	lakivic			
/G.V./	US88	6,067,525	05/2000	Jo	ohnson et al.			
			FOREIGN !	PATE	NT DOCUMENTS			
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY	CLAS	S SUB-CLASS	TRANSLATION
	FP							Yes No
	FP							Yes No
CYANNED	1000	Nor	PATENT I	LITER	ATURE DOCUMENT	'S		· · · · · · · · · · · · · · · · · · ·
EXAMINER INITIAL	DOC. REF.				CITATION			
/G.V./	NPL61	Engle, R.F. et al, "Mode 11, No. 3, pp. 241-251,	elling Peak El Apr. 1992.	lectrici	ty Demand," John Wile	ey & Sons, Ltd.	, Journal of Fo	recasting, vol.
/G.V./	NPL62	Microsoft Access™ Use	er's Guide, M	icrosof	ft Corporation, pp. 22-2	27, 36-39, 327	335, 370-373,	395-447, 1992.
/G.V./	NPL63	Enrenberg, A.S.C. et al. Foundation, Inc., <i>Journal</i>	., "The After I al of Advertis	Effects	of Price-Related Consessearch, vol. 34, No. 4,	sumer Promoti pp. 11-12, Jul	ons," Advertisir ./Aug. 1994.	ng Research
/G.V./	NPL64	Patent Abstracts of Jap	an, JP 12363	396, pı	ublished Sep. 21, 1989).		
/G.V./	NPL65	Patent Abstracts of Jap	an, JP 12594	188, pı	ublished Oct. 17, 1989			
/G.V./	NPL66	Patent Abstracts of Jap	an, JP 22683	396, pu	ublished Nov. 2, 1990.			
EXAMINER	.I.	/Gerald Vizvary/				DATE C	ONSIDERED	01/02/2008
		rence considered, whether or				. Draw line thro	igh citation if not	in conformance
614759_1.DC		de copy of this form with nex	Communicate	OII IO A	ppiioarit.			

				ΤΔΤΤ	Y. DOCKET NO.	400	10171011110	Page 12 of 17
		•			1.0100006		ICATION NO 15,758	
		FORM PTO-1449	•		ENTORS			
INFOR	MATION	N DISCLOSURE STATEM	MENT		en <i>et al.</i> NG DATE	ART	UNIT	<u> </u>
			HC DA		ember 12, 2003 DOCUMENTS	3692		
	DOC. REF.	DOCUMENT NUMBER	DATE		AME	CLASS	SUB-CLASS	FILING DATE
10.111	US89	6,104,582	08/2000		annon <i>et al</i> .			
/G.V./	US90	6,108,662	08/2000	Н	oskins <i>et al</i> .			
/G.V./	US91	6,144,944	11/2000	к	urtzman et al.			
/G.V./	US92	6,151,582	11/2000	Н	uang et al.			
/G.V./	US93	6,173,276 B1	01/2001	К	ant et al.			
/G.V./	US94	6,188,960 B1	02/2001	Baron et al.				
	US95	6,216,109 B1	04/2001	z	weben et al.			
/G.V./	US96	6,240,369 B1	05/2001	F	oust			
			FOREIGN I	ATE	NT DOCUMENTS			
	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	FP							Yes No
	FP							Yes No
EXAMINER	DOC.	Non	N PATENT L	ITER	ATURE DOCUMENTS			
	REF.		• • • • • • • • • • • • • • • • • • • •		CITATION		•	
/G.V./	NPL67	Patent Abstracts of Japa	an, JP 22990	59, pı	ublished Dec. 11, 1990.			
/G.V./	NPL68	Patent Abstracts of Japa	an, JP 40778	96, pı	ublished Mar. 11, 1992.			
/G.V./	NPL69	Patent Abstracts of Japa	an, JP 41352	71, pı	ublished May 8, 1992.			
/G.V./	NPL70	Patent Abstracts of Japa	an, JP 43539	70, pı	ublished Dec. 8, 1992.			٠
/G.V./	NPL71	Patent Abstracts of Japa	an, JP 51894	06, pı	iblished Jul. 30, 1993.			
/G.V./	NPL72	Patent Abstracts of Japa	an, JP 60761	61, pı	ublished Mar. 18, 1994.			
EXAMINER		/Gerald Vizvary/				DATE CO	NSIDERED	01/02/2008
EXAMINER: Init	ial if refer	ence considered, whether or de copy of this form with next	not citation is i	n conf	ormance with MPEP 609. Dra	aw line through	n citation if not i	n conformance

		FORM PTO-1449		1481	Y. DOCKET NO. .0100006 ENTORS		ICATION NO 05,758	•
INFORMATION DISCLOSURE STATEMENT				Willen et al. FILING DATE ART UNIT				
				Nove	ember 12, 2003	3692		
EXAMINER	DOC.	T			DOCUMENTS	1	T	T
INITIAL	REF.	DOCUMENT NUMBER	DATE		AME .	CLASS	SUB-CLASS	FILING DATE
/G.V./	US97	6,263,321 B1	07/2001	Da	aughtery, III		ļ	
/G.V./	US98	6,298,285 B1	10/2001	Ac	ddink <i>et al</i> .			
/G.V./	US99	6,298,307 B1	10/2001	M	urphy et al.			
/G.V./	US100	6,317,686 B1	11/2001	Ra	nn			
/G.V./	US101	6,339,747 B1	01/2002	Da	aly et al.			
/G.V./	US102	6,341,287 B1	01/2002	Sz	iklai <i>et al</i> .			
/G.V./	US103	6,356,842 B1	03/2002	Int	triligator <i>et al</i> .	,		
/G.V./	US104	6,397,162 B1	05/2002	То	on			
EVALUAÇÃO.	1000		FOREIGN 1	PATE	T DOCUMENTS	· · · · · · · · · · · · · · · · · · ·		
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB-CLASS	TRANSLATIO
	FP							Ye N
	FP							Ye N
EXAMINER	DOC.	No	N PATENT I	LITERA	ATURE DOCUMENTS CITATION	<u> </u>		
INITIAL /G.V./	NPL73	Patent Abstracts of Jap	an, JP 61498	333, pul				
/G.V./	NPL74	"SpaceRef.com", Space Criteria, 1/29/2000; 9 pa		ather La	aunch Commit Criteria	and KSC End o	of Mission We	ather Landing
/G.V./	NPL75	Chen, P.C., "Supercom Weather Prediction Mod	puter-Based del," ACM, pp	Visuali 5. 296-3	zation Systems Used for 1990).	or Analyzing O	utput Data of a	Numerical
/G.V./	NPL76	Riordan, D. and Hanser Systems, Vol. 10, No. 3					n," <i>Engineerin</i>	g Intelligent
/G.V./	NPL77	English Abstract Page for esp@cenet database.	or Japanese	Patent	Publication No. 10096	790, 1 page, da	ata supplied fr	om the
/G.V./	NPL78	English Abstract Page fi esp@cenet database.	or Japanese	Patent	Publication No. 11258	359, 1 page, da	ata supplied fro	om the
		1						
EXAMINER		/Gerald Vizvary/				DATE CC	NSIDERED	01/02/2008

								Page 14 of 17			
		FORM PTO-1449		148	Y. DOCKET NO. 1.0100006		LICATION NO 05,758				
					ENTORS en et al.						
INFO	ORMATIO	N DISCLOSURE STATEM	MENT	FILI	NG DATE		UNIT				
	-	· · · · · · · · · · · · · · · · · · ·	ILS PAT		ember 12, 2003 DOCUMENTS	3692					
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		AME	CLASS	SUB-CLASS	FILING DATE			
/G.V./	ÚS105	6,405,134 B1	06/2002	S	mith et al.						
/G.V./	US106	6,405,179 B1	06/2002	R	ebane						
/G.V./	US107	6,418,417 B1	07/2002	С	orby et al.						
/G.V./	US108	6,453,215 B1	09/2002	L	avoie						
/G.V./	US109	6,453,216 B1	09/2002	М	cCabe et al.						
/G.V./	US110	6,496,780 B1	12/2002	Н	аттіs <i>et al</i> .						
/G.V./	US111	6,498,987 B1	12/2002	K	elly <i>et al</i> .						
/G.V./	US112	6,505,123 B1	01/2003		oot <i>et al</i> .						
	1200		FOREIGN P	ATE	NT DOCUMENTS						
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB-CLASS	TRANSLATION			
	FP							Yes No			
	FP	<u> </u>					:	Yes No			
EXAMINER	DOC.	No	PATENT L	ITER	ATURE DOCUMENTS						
NITIAL	REF.				CITATION						
/G.V./	NPL79	Fox, Frederic, Weather	- The next reta	ail fro	ntier, Discount Merchand	liser, October	1993, Volume	33, No. 10.			
/G.V./	NPL80	Robins, Gary, Tracking	sales climate,	Store	es, November 1993, Volu	me 75, No. 11	I, Pages 52-54	4.			
/G.V./	NPL81		Vogelstein, Fred, Corporate America loves the weather: why companies pay for next years forecast, U.S. News & World Report, April 13, 1998, Volume 124, No. 14.								
/G.V./		Cawthorn, Chris, Weath	er as a strateg	gic ele	ement in demand chain p	lanning, Journ	nal of Busines	s Forecasting			
70.4.7	NPL82	Methods & Systems, Fa	ii 1998, Volun		3						
/G.V./	NPL82					l Industry Mag	gazine, June 1	999, Volume			
		Baker, Stacy, Only the v 60, No.6, Pages 16-18	veatherman kı	nows	7						
/G.V./	NPL83	Baker, Stacy, Only the v 60, No.6, Pages 16-18	veatherman kı	nows	(but so can you), Appare	001, PR News	swire, June 5,				

					Y. DOCKET NO.	APP	LICATION NO	Page 15 of 17	
INFO		FORM PTO-1449 I DISCLOSURE STATEM	<u>IENT</u>	INV Will	1.0100006 ENTORS en <i>et al.</i> NG DATE		05,758 UNIT		
				Nov	ember 12, 2003	3692			
EXAMINER	DOC.	DOCUMENT NUMBER	DATE		DOCUMENTS AME	CLASS	SUD CLASS	FU WO DATE	
INITIAL /G.V./	REF.				· · · · · · · · · · · · · · · · · · ·	CLASS	SUB-CLASS	FILING DATE	
	US113	6,535,817 B1	03/2003		rishnamurti	.			
/G.V./	US114	6,581,008 B2	06/2003	Ir	Intriligator et al.				
/G.V./	US115	6,584,447 B1	06/2003	F	ox et al.				
/G.V./	US116	6,591,255 B1	07/2003	T	atum <i>et al</i> .				
/G.V./	US117	6,654,689 B1	11/2003	К	elly et al.				
/G.V./	US118	6,753,784 B1	06/2004	S	znaider <i>et al</i> .				
/G.V./	US119	6,985,837 B2	01/2006	М	loon et al.	,			
/G.V./	US120	7,031,927 B1	04/2006	В	eck et al.			1	
EXAMINER	1000		FOREIGN I	PATE	NT DOCUMENTS		•		
INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
	FP							Yes No	
	FP					9		Yes No	
EXAMINER	DOC.	Non	PATENT L	ITER	ATURE DOCUMENTS	S			
INITIAL	REF.				CITATION				
/G.V./	NPL85	Demantra, Planalytics O	ffer Joint Sol	ution,	Business Wire, August	20, 2001.	-		
/G.V./	NPL86	Taming Weather's Unpre	edictable Imp	act, R	etail Merchandiser, Ap	ril 2002, Volume	9 42, No. 4.		
/G.V./	NPL87	Retailers use sales forect Vol. 26, No. 21, Page 8.	casts to get in	front	of the weather, Nation	al Home Center	News, Noven	nber 20, 2000,	
/G.V./	NPL88	Leung, K.S. et al., Fuzzy Concepts in Expert Systems, IEEE, September 1988.							
/G.V./	NPL89	Prior, John, Weather Inte	elligence: the	new :	secret service, Food Ma	anufacture, Vol.	69, No. 5, Ma	y 1994.	
/G.V./	NPL90	Cawthorn, Chris, Weather Forecasting Methods & S	er As A Strate Systems, Vol.	egic E . 17, N	lement in Demand Cha lo. 3, Fall 1998.	ain Planning, Th	e Journal of B	usiness	
EXAMINER	/(Serald Vizvary/				DATE CO	NSIDERED	01/02/2008	
EXAMINER: In	nitial if refere	nce considered, whether or re e copy of this form with next	not citation is in	n confo	ormance with MPEP 609.	Draw line through	citation if not in		

							Page 16 of 17			
		FORM PTO-1449	•	ATTY, DOCKET NO. 1481.0100006 INVENTORS		LICATION NO 05,758), 			
				Willen et al.						
INF	<u>ORMATIQI</u>	N DISCLOSURE STATEM	<u>//ENT</u>	FILING DATE		UNIT				
			II C DAT	November 12, 2003 FENT DOCUMENTS	. 3692	<u>'</u>	·····			
EXAMINER	DOC.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS				
INITIAL	REF.				CLASS	SUB-CLASS	FILING DATE			
/G.V./ /G.V./	US121	7,069,232 B1	06/2006	Fox et al.						
	US122	7,080,018 B1	07/2006	Fox et al.						
/G.V./	US123	7,103,560 B1	09/2006	Fox et al.						
/G.V./	US124	7,162,444 B1	01/2007	Machado, Jr. et al.						
/G.V./	US125	7,184,965 B2	02/2007	Fox et al.						
/G.V./	US126	7,184,983 B2	02/2007	Corby et al.						
	us	- ()								
	US									
			FOREIGN P	ATENT DOCUMENTS		·				
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION			
	FP						Yes No			
	FP						Yes			
		Non	PATENT L	TERATURE DOCUMEN	TS	<u> </u>	140			
EXAMINER INITIAL	DOC. REF.			CITATION						
/G.V./	NPL91	Shutovich, Christina, Wi downpour, Aftermarket E	nen it rains, wi Business, Vol.	pers pour profits: Retailer 109, No. 12, December 1	s need to prepare 1999.	for customers	during a			
/G.V./	NPL92	Cawthorn, Christopher, S	Sunny today,	sales tomorrow - clothing	industry, Bobbin, N	May 1999.				
/G.V./	NPL93	McNeeley, Trent, High-te	McNeeley, Trent, High-tech weather service aids business planning, TechRepublic.com, January 20, 2000.							
/G.V./	NPL94	Vilano, Matt, A Smile Ma	Vilano, Matt, A Smile Makes a Lousy Umbrella, CIO Magazine, March 15, 2000.							
/G.V./	NPL95	Impact SR from Planalytics Gives Retailers New Weapon Against Weather, PR NewsWire, September 14, 2000.								
/G.V./	NPL96	Taming Weather's Unpre	dictable Impa	ct, Retail Merchandiser, V	/ol. 42, No. 4, Apri	2002.				
XAMINER		Gerald Vizvary/		•	DATE COM	SIDERED 01	1/02/2009			
	/\	ogiala vizvaly				10.02.100	!/UZ/ZUU O			

				.,				Page 17 of 17		
	,	EODM 9TO 1440		148	Y. DOCKET NO. 1.0100006		LICATION NO 05,758	• ————————————————————————————————————		
		FORM PTO-1449			ENTORS en <i>et al.</i>					
INFO	ORMATIO	N DISCLOSURE STATEM	<u>IENT</u>	FILII	NG DATE		UNIT:			
			IIS PA		DOCUMENTS	3692				
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		AME	CLASS	SUB-CLASS	FILING DATE		
	US						·			
	US					•				
	US									
	US .							ŷ.		
	US									
	US	·								
	US									
	us				,					
	7.000		FOREIGN I	PATE	NT DOCUMENTS		•			
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	<u> </u>	COUNTRY	CLASS	SUB-CLASS	TRANSLATION		
	FP							Yes No		
	FP]						Yes No		
EXAMINER	DOC.	Non	PATENT L	ITER	ATURE DOCUMENTS					
INITIAL	REF.				CITATION					
/G.V./	NPL97	Weather for sale, Daily F	Record, June	22, 20	002.		٠			
'G.V./	NPL98	Corby, Paul M., Weathe	r volatility and	d powe	er demand, Power Econ	omics, Vol. 6, I	No.7, July/Aug	ust 2002.		
/G.V./	NPL99	SmartCorp.com Web Pa December 20, 2005.	ges, Smart S	Softwar	e, Inc., May 2000 - July	2001, Retrieve	ed from Archiv	e.org,		
/G.V./	NPL100	Lucas, Peter, Certainty-Factor-Like Structures in Bayesian Networks, Advances in Artificial Intelligence, Al*IA99, 1999.								
/G.V./	NPL101	Makridakis, Spyros et al. ISBN: 0-471-53233-9.	Makridakis, Spyros et al., Forecasting Methods and Applications-Third Edition, John Wiley & Sons, 1998, ISBN: 0-471-53233-9.							
/G.V./	NPL102	Shim, Jae K., Strategic E	Business Fore	ecastin	g - Revised Edition, CR	RC Press, 2000	, ISBN: 1-574	14251-1.		
XAMINER		/Gerald Vizvar	y/			DATE CON	NSIDERED	01/02/2008		
		ence considered, whether or r								

Page 1 of 1 APPLICATION NO. ATTY. DOCKET NO. 10/705,758 1481.0100006 **FORM PTO-1449 INVENTORS** SUPPLEMENTAL Willen et al. INFORMATION DISCLOSURE STATEMENT **FILING DATE ART UNIT** November 12, 2003 3692 U.S. PATENT DOCUMENTS EXAMINER INITIAL DOC. CLASS SUB-CLASS FILING DATE NAME DATE **DOCUMENT NUMBER** REF. /G.V./ 06/2000 Ching US1 6,078,901 /G.V./ 07/2003 Schroeder et al. US2 2003/0130883 /G.V./ US3 2004/0093296 05/2004 Phelan et al. US US US US US **FOREIGN PATENT DOCUMENTS** EXAMINER DOC. DOCUMENT NUMBER COUNTRY CLASS SUB-CLASS **TRANSLATION** DATE INITIAL REF. N/A FP FΡ No NON PATENT LITERATURE DOCUMENTS **EXAMINER** DOC. CITATION INITIAL REF. NPL **NPL** NPL

EXAMINER /Gerald Vizvary/

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

NPL

NPL

NPL

FORM PTO-1449 SECOND SUPPLEMENTAL TADEMAN INFORMATION DISCLOSURE STATEMENT

ATTY, DOCKET NO. 1481.0100006 **INVENTORS**

Page 1 of 1 APPLICATION NO. 10/705,758

Willen et al.

FILING DATE

ART UNIT

November 12, 2003 3692 U.S. PATENT DOCUMENTS EXAMINER DATE NAME DOCUMENT NUMBER **CLASS** FILING DATE SUB-CLASS INITIAL REF. US US US US US US US US **FOREIGN PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER** DATE COUNTRY CLASS SUB-CLASS **TRANSLATION** INITIAL FΡ N/A FΡ No NON PATENT LITERATURE DOCUMENTS **EXAMINER** CITATION INITIAL REF. American Academy of Actuaries Index Securitization Task Force With Research and Input From Casualty Actuarial Society Valuation, Finance and Investments Committee, "Evaluating the Effectiveness of Index-/G.V./ NPL₁ Based Insurance Derivatives in Hedging Property/Casualty Insurance Transactions," American Academy of Actuaries, October 4, 1999, as printed at http://www.casact.org/research/istf/istf.pdf. Zeng, L., "Pricing Weather Derivatives," *Journal of Risk Finance*, Spring 2000, as printed at http://www.atmos.washington.edu/~lixin/Zeng2000.pdf, pp. 72-78. /G.V./ NPL2 /G.V./ NPL3 International Search Report issued September 14, 2007 for PCT/US06/24563, 8 pages. NPL NPL NPL DATE CONSIDERED 01/02/2008

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

/Gerald Vizvary/

EXAMINER